



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/709,249	11/10/2000	Andrew R. Crow	701826/50990

CONFIRMATION NO. 3430

FORMALITIES LETTER



\*OC000000005863956\*

Nixon Peabody LLP  
101 Federal Street  
Boston, MA 02110

Date Mailed: 03/15/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/16/2001 SZEWIDIE1 00000056 09709249

01 FC:101  
02 FC:105

710.00 OP  
130.00 OP



✓ \$ SECTOR

Practitioner's Docket No. 701826-050990

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Andrew R. Crow, et al.  
Application No.: 09/709,249 Group No.:  
Filed: 10 November 2001 Examiner:  
For: A METHOD FOR PREVENTING AND INHIBITING HUMAN HLA  
ALLOIMMUNE RESPONSE TO PLATELET TRANSFUSION

**Box Missing Part**

**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a) and 1.10)**

I hereby certify that this correspondence:

1. Completion of Filing Requirements – Nonprovisional Application [5 pgs];
2. Copy of Notice to File Missing Parts of Nonprovisional Application [1pg];
3. Combined Declaration for Utility or Design Patent Application (37 CFR 1.63) and Power of Attorney – Executed by A. Lazarus, J. Freedman and A. Crow [3 pgs];
4. Check in the Amount of \$840.00 – Filing & Surcharge Fee;
5. Assignment – Executed by A. Lazarus, J. Freedman and A. Crow [1 pg];
6. Recordation Cover Sheet [1 pg];
7. Check in the Amount of \$40.00 – Assignment Fee;
8. Information Disclosure Statement Transmittal [1 pg];
9. SOR.PAT.FORM 107 (Substitute for Form 1449) [2 pgs];
10. Reference AA;
11. Return Receipt Postcard;

is on the date shown below being:

**MAILING**

deposited with the United States Postal Service and with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: May 11, 2001

**FACSIMILE**

transmitted by facsimile to the Patent Trademark Office.

Signature

Nicole M. Gignac  
(type or print name of person certifying)



Practitioner's Docket No. 701826-050990

**PATENT**

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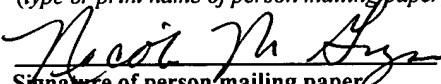
**CERTIFICATE OF MAILING (37 C.F.R. SECTION 1.8(a))**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

11 May 2001

Nicole M. Gignac

(type or print name of person mailing paper)

  
Signature of person mailing paper

**Box Missing Part**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION**

*(check and complete this item, if applicable)*

- I.  This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 15 March 2001.
- A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH**

- II.  No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

**OR**

- The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

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*(complete (c) or (d), if applicable)*

Attached is a

- (c) [ ] Statement by a registered attorney that the application filed in the PTO is the application that the inventor executed by signing the declaration.
- (d) [ ] Statement that the "attached" specification is a copy of the specification and any amendments thereto that were filed in the PTO to obtain the filing date.

#### **AMENDMENT CANCELLING CLAIMS**

III. [ ] Cancel claims \_\_\_\_\_ inclusive.

#### **TRANSMITTAL OF ENGLISH TRANSLATION OF NON-ENGLISH LANGUAGE PAPERS**

IV. [ ] Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

#### **SMALL ENTITY STATUS**

V. [ ] A statement that this filing is by a small entity

*(check and complete applicable items)*

- [ ] is attached.  
[ ] A separate refund request accompanies this paper.  
[ ] was filed on \_\_\_\_\_ (original).

#### **COMPLETION FEES**

VI.

1. Filing fee

- [X] original patent application  
(37 C.F.R. Section 1.16(a)--\$710.00; small entity--\$355) \$ 710.00
- [ ] design application  
(37 C.F.R. Section 1.16(f)--\$320; small entity--\$160) \$ \_\_\_\_\_

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2. Fees for claims

[ ] each independent claim in excess of 3  
(37 C.F.R. Section 1.16(b)--\$80; small entity--\$40)\$ \_\_\_\_\_

[ ] each claim in excess of 20  
(37 C.F.R. Section 1.16(c)--\$18; small entity--\$9)\$ \_\_\_\_\_

[ ] multiple dependent claim(s)  
(37 C.F.R. Section 1.16(d)--\$270: small entity--\$135) \$ \_\_\_\_\_

3. Surcharge fees

[X] late payment of filing fee and/or late filing of original declaration or oath  
(37 C.F.R. Section 1.16(e)--\$130; small entity--\$65) \$ 130.00

4. [ ] Petition and fee for filing by other than  
all the inventors or a person not the inventor  
(37 C.F.R. Sections 1.17(i) and 1.47--\$130) \$ \_\_\_\_\_

5. [ ] Fee for processing an application filed with  
a specification in a non-English language  
(37 C.F.R. Sections 1.17(k) and 1.52(d)--\$130) \$ \_\_\_\_\_

6. [ ] Fee for processing and retention of application  
(37 C.F.R. Sections 1.21(l) and 1.53(d)--\$130) \$ \_\_\_\_\_

7. [X] Assignment (See "ASSIGNMENT COVER SHEET") \$ 40.00

Total completion fees \$ 880.00

**EXTENSION OF TIME**

**VII.**

*(complete (a) or (b), as applicable)*

The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

(a) [ ] Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. Section 1.17(a)(1)-(4), for the total number of months checked below:

In re application of: Andrew R. Crow, et al.  
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Extension <u>(months)</u>	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
[ ] one month	\$110	\$55
[ ] two months	\$390	\$195
[ ] three months	\$890	\$445
[ ] four months	\$1,390	\$695

Fee \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

*(check and complete the next item, if applicable)*

[ ] An extension for \_\_\_\_\_ months has already been secured, and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

(b) [X] Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

#### TOTAL FEE DUE

#### VIII.

The total fee due is

Completion fee(s)	\$ <u>840.00</u>
Extension fee (if any)	\$ _____
Assignment Fee	\$ <u>40.00</u>

Total Fee Due \$ 880.00

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**IX.**

**PAYMENT OF FEES**

- Enclosed is a check in the amount of \$ 840.00 for completion fees.  
 Enclosed is a check in the amount of \$ 40.00 for the Assignment fee.

Charge Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate of this request is attached.

**X.**

**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. \_\_\_\_\_.

- 37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)  
 37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)  
 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)  
 37 C.F.R. Section 1.17(a)(1)-(5)(extension fees pursuant to Section 1.136(a).  
 37 C.F.R. Section 1.17 (application processing fees)  
 37 C.F.R. Section 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. Section 1.311(b))

Date: 11 May 2001

Respectfully submitted,

Customer No.: 26770

  
\_\_\_\_\_  
David S. Resnick (Reg. No. 34,235)  
NIXON PEABODY LLP  
101 Federal Street  
Boston, MA 02110  
(617) 345-6057